

SYSTEMATIC TRANSFER PLAN (STP) / IDCW SWEEP REGISTRATION FORM (Please read instructions overleaf)



Distributor / Broker ARN	Sub-Broker Code	Sub-Broker ARN	EUIN*	LG Code	RIA Code**
ARN-95070			E-101834		

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

*I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

** I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

First / Sole Applicant
/ Guardian / POA Holder
/ Authorised Signatory

Second Applicant / POA Holder

Third Applicant / POA Holder

TRANSACTION CHARGES for
Rs. 10,000 and above (✓ any one)

- ☐ I confirm that I am a first time investor across Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor)
☐ I confirm that I am an existing investor across Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)

APPLICANT'S INFORMATION (Mandatory, if left blank, the application is liable to be rejected)

Folio No. Name of Sole / First Unit Holder First Name Middle Name Last Name

PAN/PEKRN DETAILS (mandatory) *If the First Applicant is a Minor, please state the details of Guardian. Please attach PAN proof.

First/Sole Applicant Second Applicant Third Applicant

STP / IDCW SWEEP DETAILS

	Transfer From (Transferor Scheme)	Transfer To (Transferee Scheme)
Name of Scheme		
Plan		
Option		
Frequency (Please ✓ any one)	☐ Daily STP ☐ Weekly STP ☐ Fortnightly STP ☐ Monthly STP (Default) ☐ Quarterly STP ☐ IDCW Sweep	
STP Date	Daily STP Daily Interval (all Business Days) Weekly STP (Please ✓ any one only) ☐ Monday ☐ Tuesday ☐ Wednesday* ☐ Thursday ☐ Friday Fortnightly STP ☐ 1st and 15th ☐ 7th* and 25th* Monthly and Quarterly STP (Please ✓ any one only) ☐ 1st of the month ☐ 7th* of the month ☐ 10th of the month ☐ 15th of the month ☐ 25th of the month ☐ 28th of the month	
Registration Period	From To	
Fixed Amount	Rs. OR ☐ Capital Appreciation (Not for Daily STP / IDCW Sweep)	

DECLARATION

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme of Baroda BNP Paribas Mutual Fund, I / We hereby apply to Baroda BNP Paribas Mutual Fund for units of the Scheme and agree to abide by terms and conditions, rules and regulation of the Scheme.

I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxy holders of a person who is a US person. I/We hereby declare that I am/ We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme.

I/We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds /income of mine/the HUF / the Company / Trust/ Partnership only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, the Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and /or any other relevant rules/ guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made/ information provided by me/us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to reject the application / withhold the investments made by me / us and/or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC/ Mutual Fund/ Trustees may deem proper at their sole option. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I hereby confirm that Baroda BNP Paribas Mutual Fund/Baroda BNP Paribas Asset Management India Private Limited and its empanelled brokers/distributors has/have not given any indicative portfolio and indicative yield in any manner whatsoever.

Applicable for Foreign tax laws and KYC details: I/We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I further undertake to advise the AMC /Mutual Fund/ Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC /Mutual Fund/ Trustees with a suitably updated self-declaration within 30 days of such change in circumstances.

Applicable to NRIs only : I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

If NRI, (please ✓) ☐ Repatriation basis ☐ Non-Repatriation basis

SIGNATURE (S)

Dated	First Applicant / Guardian / POA Holder / Authorised Signatory	Second Applicant / POA Holder	Third Applicant / POA Holder
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ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

Baroda BNP Paribas Mutual Fund

Systematic Transfer Plan (STP)

Date: / /

Received from
Mr./Ms./M/s. _____ 'STP' application for transfer of Units;

From Scheme _____

Plan _____ Option _____

To Scheme _____

Plan _____ Option _____

☐ Fixed STF ☐ Capital Appreciation STF (not for Daily STP) per ☐ Day ☐ Week ☐ Fortnight ☐ Month ☐ Quarter

ISC Stamp, Date & Signature

INSTRUCTIONS

For STP

- The form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box, where boxes have been provided. The form must reach at-least 7 working days in advance at any of the Official Points of Transactions.
- A single form can be used for one Scheme / Plan / Option only. Investor should use separate forms for more than one Scheme / Plan / Option.
- Investors are advised to read the Key Information Memorandum / Statement of Additional Information / Scheme Information Document of the Transferee Scheme(s) carefully before investing. The Statement of Additional Information / Scheme Information Document / Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs and on our website www.barodabnpnpparbasmf.in.
- Facilities available:**
STP offers unit holders the following two facilities:
 - Fixed Systematic Transfer Facility (FSTF) where investor can transfer sums at Daily / Weekly / Fortnightly / Monthly / Quarterly intervals to others Scheme(s) of the Fund
 - Capital Appreciation Systematic Transfer Facility (CASTF) where the investor can transfer the entire capital appreciation from Transferor Scheme at Weekly / Fortnightly / Monthly / Quarterly intervals to others Scheme(s) of the Fund.
- Eligible Schemes:**
Source Scheme(s): All open ended schemes of Baroda BNP Paribas Mutual Fund
Target Scheme(s): All open ended schemes of Baroda BNP Paribas Mutual Fund except Baroda BNP Paribas Liquid Fund and Baroda BNP Paribas Overnight Fund
- Date / Minimum Amount of Transfer for STP will be as follows:

FSTF / CASTF	Date of transfer	Minimum amount of transfer*	Minimum Installments
Daily (No CASTF)	Daily Interval (all Business Days) ^A	Rs. 1000 and in multiples of Rs. 1 thereafter	6
Weekly	Transfer on either of Monday, Tuesday, Wednesday, Thursday or Friday		
Fortnightly	Transfer on 1st & 15th or 7th and 25th of a month		
Monthly	Transfer on either of 1, 7, 10, 15, 25 or 28 of a month	Rs. 1500 and in multiples of Rs. 1 thereafter	4
Quarterly	Transfer on either of 1, 7, 10, 15, 25 or 28 in a Quarter (once in 3 month period)		

^A Investors should note that in case of Daily STP, the commencement date for transfers shall be the 8th working day from the date of receipt of a valid request and thereafter, transfers shall be effected on all business days at NAV based prices, subject to applicable load. In case the Weekly / Fortnightly / Monthly / Quarterly STP execution date falls on non-business day, the next business day will be considered as date of transfer.

*The minimum amount of transfer into Baroda BNP Paribas ELSS Fund shall be Rs. 1000 & in multiples of Rs. 500 thereafter. Baroda BNP Paribas ELSS Fund is an open ended equity linked tax savings scheme with a lock-in period of 3 years from the date of allotment of units. STP for an amount / value of units not in multiples of Rs. 500 shall be deemed as a transfer request for an amount which is equal to the nearest lower multiple of Rs. 500 and the balance amount, if any, shall remain invested in the source Scheme.

Investors should note that CASTF facility is not available for Daily STP.

- An investor has to maintain minimum balance of Rs. 6000 in the opted Transferor Scheme at the time of enrolment of STP. If such minimum balance represented by clear units is not available, the application will be liable to be rejected.
- An investor has to clearly specify the name & the option of the source & target scheme in the enrolment form. If name of the source or target Scheme is not stated or in case of any ambiguity, request shall be liable to be rejected. In absence of information, the default option for target scheme shall be growth option.
- Load structure of the Source Scheme & Target Schemes as on the date of enrolment of STP shall be applicable.
- An investor has to select any one facility i.e. FSTF or CASTF. In case, investor doesn't select any facility or in case of any ambiguity, the request shall be rejected. In case investor has selected any one of the facility but has not selected frequency and / or date or in case of

any ambiguity, by default, monthly frequency & 7th day shall be considered as frequency & execution date respectively.

- In FSTF, in case there is no minimum amount (as specified above) available in the unit holder's account for Transfer into target scheme, the transfer shall not be executed and the request of unit holder will stand withdrawn with immediate effect.
- In CASTF, if there is no minimum appreciation amount in the source scheme for the consecutive three installments, the STP request of the unitholder will stand withdrawn with immediate effect. The capital appreciation, if any, will be calculated from the enrolment date of the CASTF under the folio, till the first transfer date [e.g. if the unit holder has been allotted units on the 23rd of September and the date of enrolment for monthly CASTF is the 1st of November and the unit holder has opted for 15th of every month as the transfer date, capital appreciation, if any, will be calculated from the 1st of November to the 15th of November (first transfer date). Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASTF date (where transfer has been processed) and the next CASTF date].
- A request for STP will be treated as a request for redemption from the source scheme and subscription into the selected target scheme(s), option(s) / plan(s), at the applicable NAV, subject to load and statutory levy, if any.
- In case of minor applicant, the guardian can opt for STP only till the date of minor attaining majority. AMC shall suspend the standing instruction of STP enrollment from the date of minor attaining majority by giving adequate prior notice. Further, once the minor attains majority, the guardian will not be able to undertake any financial and non-financial transactions including fresh registration of STP and the folio shall be frozen for the further operation till the time requisite documents for changing the status from minor to major is submitted to the Fund.
- STP will be automatically terminated if all units are liquidated or withdrawn from the source scheme or pledged or upon receipt of intimation of death of unit holder.
- The provision of 'Minimum redemption amount' specified in the Scheme Information Document of source scheme and 'Minimum application amount' specified in the Scheme Information Documents of the target schemes will not be applicable for STP.
- Investors can also choose to terminate the STP by giving a written notice at least 7 Business Days in advance to the Official Points of Transactions. In case of Daily STP, termination shall be effected from 8th Business Day of receipt of valid request.
- The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.

For IDCW Sweep:

- IDCW Sweep is a facility wherein unit holder(s) of eligible source scheme(s) of Baroda BNP Paribas Mutual Fund can opt to automatically invest the IDCW* (as reduced by the amount of applicable statutory levy) declared by the eligible Source Scheme(s) into other eligible Scheme(s) [herein after referred to as "Target Scheme(s)"] of Baroda BNP Paribas Mutual Fund. The IDCW Sweep Facility is available only for units held / to be held in Non - demat Mode in the source and the target Scheme
- Under IDCW Sweep, investor can transfer the IDCW* (as reduced by the amount of applicable statutory levy) declared by the eligible Source Scheme(s) into other eligible Scheme(s) [hereinafter referred to as "Target Scheme(s)"] of BNP Paribas Mutual Fund. Registration under the IDCW Sweep facility will automatically override any previous instructions for 'IDCW* Payout' or 'IDCW* Reinvestment' facility in the Source Scheme
- Eligible Schemes:**
Source Scheme(s): All open ended schemes of Baroda BNP Paribas Mutual Fund except Daily and Weekly IDCW plans under the open ended scheme(s)
Target Scheme(s): All open ended schemes of Baroda BNP Paribas Mutual Fund except Baroda BNP Paribas Liquid Fund, Baroda BNP Paribas Overnight Fund & Baroda BNP Paribas ELSS Fund
- Minimum Amount of Transfer for IDCW Sweep will be Rs. 200 (post reduction of the amount by applicable statutory levy, if any). If the IDCW* in the source scheme is less than Rs. 200/- then the IDCW* will be automatically reinvested in the source scheme.
- Under IDCW Sweep, IDCW* declared (as reduced by the amount of applicable statutory levy) in the source scheme (subject to minimum of Rs. 200/-) will be automatically invested into the Target Scheme, as opted by the unit holder, on the immediate next Business Day after the Record Date at the applicable NAV of the Target Scheme and accordingly equivalent units will be allotted in the Target Scheme, subject to the terms and conditions of the respective Target Scheme.
- The provision for 'Minimum Application Amount' specified in the respective Target Scheme's Scheme Information Document will not be applicable for IDCW Sweep
- Unit holders will have the right to discontinue the IDCW Sweep facility at any time by sending a written request to the ISC. Notice of such discontinuance should be received at least 7 working days prior to the IDCW* Record Date. On receipt of such request, the facility will be terminated. At the time of discontinuation of facility, the Unit holders should indicate their choice of option i.e. IDCW* reinvestment or IDCW* payout. In the event the Unitholder does not indicate his choice of IDCW* option, the IDCW*, if any, will be reinvested (compulsory payout if IDCW* reinvestment option is not available) in the Source Scheme.