

ARN / RIA	EUIN	Sub ARN Code	Sub Code	MOCCode	UTI RM No.
ARN-95070	E-101834				

☐ By mentioning RIA Code. I/We authorise you to share with the investment Adviser the details of my/our transactions.

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personal concerned or not with standing the advice of in-appropriateness, if any, provided by such distributor personnel and the distributor has not charged any advisory fees for this transaction. ☐ Please tick and sign below when EUIN box is left blank (refer instruction "W").

[illegible]

## 2. SYSTEMATIC TRANSFER INVESTMENT PLAN DETAILS (Please refer point no. 13)

Frequency of STRIP	Daily	Weekly	Monthly	Quarterly
Minimum No. of STRIP	20	6	6	2
Minimum amount	₹ 100	₹ 1000	₹ 1000	₹ 3000
Dates of transfer	All business days	Any day of the month	Any day of the month	Any day of the month

Source Scheme	Destination Scheme	Frequency	STRIP Period	No. of STRIPs	Amount
UTI	UTI	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	Start Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> End Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
UTI	UTI	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	Start Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> End Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
UTI	UTI	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	Start Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> End Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		

### 3. DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Scheme Information Document (SID) and Key Information Memorandum (KIM), adden da issued till date of the source scheme as well as destination scheme and the terms/conditions published on the UTI MF website. I/We hereby apply for enrolment under STRIP and agree to abide by the terms and conditions of STRIP. I/ We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant document and procedural requirements.

I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

\*I/We confirm that we are Non-Residents of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my/ our NRE / NRO Account.

I/We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund.

\* Applicable to NRIs

\_\_\_\_\_

(Signature)  
First /Sole Unitholder /Guardian

\_\_\_\_\_

(Signature)  
Second Unitholder

\_\_\_\_\_

(Signature)  
Third Unitholder

### Acknowledgement of STRIP Enrolment Form (To be filled in by the Unit holder)



(for existing unitholder) Folio No. \_\_\_\_\_

Received from Mr./Miss/Mrs : STRIP application.

Amount of transfer per installment ₹ \_\_\_\_\_ From Scheme / Plan \_\_\_\_\_  
to Scheme/Plan

Transfer Frequency	STRIP Date	
<input type="checkbox"/> Daily		
<input type="checkbox"/> Weekly	<input type="text" value="DD"/>	<input type="text" value="DD"/>
<input type="checkbox"/> Monthly	<input type="text" value="DD"/>	<input type="text" value="DD"/>
<input type="checkbox"/> Quarterly		

Transfer Period From

DD / MM / YYYY to DD / MM / YYYY

Fixed Amount per Transfer

Date &amp; Stamp of Receiving UFC

## Features and Terms & Conditions

- Systematic Transfer Investment Plan:** is a facility wherein investor can opt to transfer a fixed amount at regular intervals from one designated scheme to another designated scheme of UTI Mutual Fund.
- Minimum amount of investment:** in the source scheme is Rs 12000. This is applicable for the initial investment in the case of new investors and outstanding balance in the case of existing Unitholders.  
Where the Destination Scheme is UTI-Floating Rate Fund (Short Term Plan) / UTI-Liquid Cash Plan / UTI-Money Market Fund / UTI-Treasury Advantage Fund, an Investor is required to maintain the minimum amount required for the scheme as applicable for the respective schemes.
- Default Investment:** In the "To Scheme" if no investment option is ticked default option will be Growth Option. If no dividend option type is selected, default option will be 'Reinvestment'. If no frequency is ticked, however date of transfer is ticked by the investor, then by default Monthly option will be considered. If no frequency is ticked and if all 4 dates are ticked, same will be treated as weekly option and processed accordingly. If frequency and Date are ticked however no period is mentioned / Number of transfer is left blank, STP shall commence immediately on the next STP date (Business Day) after days from the time stamping date of the form and will continue until default period of 5 years in case of clear body. Default amount:- If no amount is mentioned then by default minimum amount as prescribed under each frequency shall be considered as the STRIP amount.
- Periodicity of transfer:** STRIP facility is offered with the following periodicity: Daily, Weekly, Monthly and Quarterly.
- Transfer of funds:** Transfer of funds will take place from source scheme to destination scheme for the schemes mentioned below in the table.
- Date of transfer:** Unit holders will be eligible to transfer a fixed amount on any business day of his/her choice. If chosen day being a holiday, next business day will be considered for the transaction.
- Minimum amount of transfer:** The minimum amount to be transferred is Rs.100/- per business day under Daily Periodicity; Rs.1000/- under the Weekly Periodicity; Rs.1,000/- under the Monthly Periodicity and Rs.3,000/- under the Quarterly Periodicity.
- Maximum amount of transfer:** There is no upper limit for transfer under all the periodicities.
- Minimum Number of STRIPS:** Minimum number of STRIP will be 20 under Daily Periodicity, 6 under Weekly Periodicity, 6 under Monthly Periodicity and 2 under Quarterly Periodicity. There will be no upper limit If the minimum number is not mentioned, then by default, the transaction to be continued till the amount in the source scheme gets exhausted.
- Transfer of amount:** The transfer of amount from the source scheme to the destination scheme will be effected by redeeming the units of the source scheme at applicable NAV/redemption price as on specified date and the amount will be converted into units as per applicable NAV/purchase price under the destination scheme as on

the specified date.

- Load:** Load structure existing at the time of investment under source/destination scheme will be applicable under the respective schemes.  
In terms of SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. No entry load shall be charged for direct applications received by the Asset Management Company (AMC) i.e. applications received through internet, submitted to AMC or collection centre that are not routed through any distributor/agent/broker. No entry load shall also be charged for additional purchases done directly by the investor under the same folio and switch in to a scheme from other schemes if such a transaction is done directly by the investor. Wherever the ARN/Code of a broker/IFA/sub-broker/distributor given in the application form has to be struck off, it has to be counter signed by the first applicant. In case the first applicant does not counter sign it the application will not be treated as a direct application. For direct applications, the area for providing ARM/ Code of a broker/IFA/subbroker/ distributor should not be left blank. It should be marked "Direct" or "Not Applicable". All Official Points of Acceptance will be available on the website of UTI Mutual Fund www.utimf.com. No entry and exit load will be charged on Bonus Units issued and on Units allotted on Re-investment of Dividend.
- Termination of STRIP:** STRIP will automatically get terminated under the following conditions
  - The unit holdings under the source scheme becomes nil or lower than minimum amount to be transferred as stipulated.
  - In the case of death of the first unit holder.
  - If the unit holder wishes to terminate at any time by sending a written request to official point of acceptance. Such notice will have to be sent at least 7 calendar days prior to the due date of the next transfer date.
  - If lien or pledge or STOP is marked against the units in the source scheme.
  - If redemption is put for all units or entire amount In the source scheme during the STRIP transfer then the STRIP would cease off after units are redeemed.
- STRIP will be registered and activated on T+1 business day from the day of clear funds are available in the source scheme.

## GENERAL INSTRUCTIONS

- The name of unitholders (1st, 2nd & 3rd) and their order should be identically mentioned in the source scheme application form as well as STRIP enrolment form.
  - New Investor desiring to opt for STRIP facility is required to fill in source scheme application form as well as STRIP enrolment form.
  - The provision of "Minimum Application Amount" and "Minimum Redemption Amount" if specified in the respective scheme information document of the source and destination scheme will not be applicable in the case of transaction through STRIP except Institutional Plan.
  - STRIP request will be registered for a folio, even if it is already under Systematic Investment Plan (SIP), Monthly Withdrawal Plan (MWP) or Fixed Withdrawal Plan (FWP).
  - If the residual amount in source Scheme is less than the scheme minimum amount or strip amount whichever is less, then the residual amount will be included in the last STRIP installment. However, on termination of STRIP, if the balance in the destination scheme is found to be below the minimum amount as per the provisions of scheme, the same will be redeemed at the redemption price applicable on the effective date of termination under the conditions stated in point no. 13 above.
  - If the total investment amount to be transferred is not mentioned then the entire invested amount will be transferred.
  - UTI Asset Management Co. Ltd. reserves the right to reject STRIP mandate without assigning any reasons thereof.
  - UTI AMC reserves the right to change / modify the terms & conditions of STRIP facility at any time.
  - SoAs under STRIP - Daily / Weekly Periodicity will be issued on a quarterly basis viz December, March, June & September respectively.
  - Know Your Client (KYC) requirement is now mandatory for all investors, irrespective of the amount of investment w.e.f January 01, 2011. For details, please refer to Statement of Additional Information (SAI).
  - Note on EUIN:** Investors should mention the EUIN of the person who has advised the investor. If left blank, please sign the declaration provided in the application form. EUIN will assist in tackling the problem of mis-selling even if the employee/ relationship manager/sales person leave the employment of the ARN holder /Sub broker.
- Applicability of EUIN:**
- Transactions to be included - Purchases, Switches, SIP/ STP / STP Triggers registration, Dividend Transfer Plan registration,
  - Transactions to be excluded - Auto SIP/ STP / SWP / STP Triggers Installments, Dividend Reinvestments, Bonus Units, Redemption, SWP Registration, Zero Balance Folio creation and Dividend Transfer Plan installments.

## List of Schemes where STRIP facility is available

STRIP facility is available under all Plans and Options of the below mentioned Source/Destination Schemes.

Source Schemes	Equity	Destination Scheme	Equity
<b>Liquid</b>		<b>Liquid</b>	
UTI Overnight Fund	UTI Banking & Financial Services Fund	UTI Overnight Fund	UTI Banking & Financial Services Fund
UTI-Liquid Cash Plan	UTI-Dividend Yield Fund	UTI-Liquid Cash Plan	UTI-Dividend Yield Fund
<b>Debt</b>	UTI-Flexi Cap Fund	<b>Debt</b>	UTI-Flexi Cap Fund
UTI Banking & PSU Debt Fund	UTI-India Consumer Fund	UTI Banking & PSU Debt Fund	UTI-India Consumer Fund
UTI-Bond Fund	UTI-Infrastructure Fund	UTI-Bond Fund	UTI-Infrastructure Fund
UTI-Dynamic Bond Fund	UTI-Long Term Equity Fund (Tax Saving)	UTI-Dynamic Bond Fund	UTI-Long Term Equity Fund (tax Saving)
UTI Gilt Fund	UTI-Mastershare Unit Scheme	UTI Gilt Fund	UTI-Mastershare Unit Scheme
UTI Credit Risk Fund	UTI-Mid Cap Funduti-mnc Fund	UTI Credit Risk Fund	UTI-Mid Cap Fund
UTI-Short Term Income Fund	UTI-MNC Fund	UTI-Short Term Income Fund	UTI-MNC Fund
UTI Ultra Short Term Fund	UTI-Nifty Index Fund	UTI Ultra Short Term Fund	UTI-Nifty Index Fund
UTI-Money Market Fund	UTI Value Opportunities Fund	UTI-Money Market Fund	UTI Value Opportunities Fund
UTI-Treasury Advantage Fund	UTI Healthcare Fund	UTI-Treasury Advantage Fund	UTI Healthcare Fund
UTI Medium Term Fund	UTI Core Equity Fund	UTI Medium Term Fund	UTI Core Equity Fund
UTI Corporate Bond Fund	UTI-Transportation And Logistics Fund	UTI Corporate Bond Fund	UTI-Transportation And Logistics Fund
UTI Floater Fund	UTI-Nifty Next 50 Index Fund**	UTI Floater Fund	UTI-Nifty Next 50 Index Fund**
UTI Gilt Fund with 10 Year Constant Duration*	UTI Small Cap Fund	UTI Gilt Fund With 10 Year Constant Duration*	UTI Small Cap Fund
<b>Hybrid</b>	UTI Nifty200 Momentum 30 Index Fund	<b>Hybrid</b>	UTI Nifty200 Momentum 30 Index Fund
UTI Regular Savings Fund	UTI S&P BSE Low Volatility Index Fund	UTI Regular Savings Fund	UTI S&P BSE Low Volatility Index Fund
UTI Arbitrage Fund	UTI Nifty Midcap 150 Quality 50 Index Fund	UTI Arbitrage Fund	UTI Nifty Midcap 150 Quality 50 Index Fund
UTI Hybrid Equity Fund	UTI Nifty200 Momentum 30 Index Fund	UTI Hybrid Equity Fund	UTI Nifty200 Momentum 30 Index Fund
UTI Multi Asset Fund	UTI S&P BSE Sensex Index Fund	UTI Multi Asset Fund	UTI S&P BSE Sensex Index Fund
	UTI Focused Equity Fund	UTI Unit Linked Insurance Plan	UTI Focused Equity Fund
	UTI Equity Savings Fund	UTI Equity Savings Fund	UTI Retirement Benefit Pension Fund

Following table may please be referred for Default options:

Default option				
Dividend Option type	Destination scheme option	If no frequency is ticked	If no dates are ticked	If no amount is mentioned
Dividend Reinvestment	Growth Option of the scheme	Monthly frequency by default	Immediately on the next STP date (Business Day) after 7 days from the time stamping date of the form and will continue until default period of 5 years	Minimum amount as prescribed under each frequency

Please refer instruction no. 3